MULTILEVEL SELECTION THEORY AND MAJOR EVOLUTIONARY TRANSITIONS: IMPLICATIONS FOR PSYCHOLOGICAL SCIENCE

David Sloan Wilson[1], Departments of Biology and Anthropology, Binghamton University, Binghamton, New York, 13902 USA

Mark Van Vugt, Department of Psychology, University of Kent at Canterbury, CT2 7NP Canterbury, UK

Rick O'Gorman, Psychology Group, Sheffield Hallam University, Sheffield, S10 2BP, UK

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Abstract

The concept of a group as like a single organism has a long and turbulent history, resulting in the current dominance of methodological individualism in many areas of psychology and evolution. Nonetheless, natural selection can operate at multiple levels of the biological hierarchy. When between-group selection dominates within-group selection, a major evolutionary transition occurs and the group becomes a new higher-level organism. It is likely that human evolution represents a major transition, with wide-ranging implications for the psychological study of group behavior, cognition, and culture.

Key words: group selection, human evolution, multilevel selection theory, group psychology, culture

Groups As Organisms

The concept of a social group as a single organism has a long history in scientific and intellectual thought. According to Daniel Wegner (1986; p. 185):

> Social commentators once found it very useful to analyze the behavior of groups by the same expedient used in analyzing the behavior of individuals. The group, like the person, was assumed to be sentient, to have a form of mental activity that guides action. Rousseau and Hegel were the early architects of this form of analysis, and it became so widely used in the 19th and early 20th centuries that almost every early social theorist we now recognize as a contributor to modern social psychology held a similar view.

Nevertheless, this view of society was eclipsed by a more reductionistic and individualistic view during the second half of the 20th century. Donald Campbell (1994; p. 23) wrote: "Methodological individualism dominates our neighboring fields of economics, much of sociology, and all of psychology's excursions into organizational theory. This is the dogma that all human social group processes are to be explained by laws of individual behavior."

Events in evolutionary biology seemed to affirm the individualistic turn in psychology. Darwin thought clearly about groups (including human groups) as adaptive units (Richards, 1987), but many other biologists assumed that natural selection operates on individuals, groups, species, and ecosystems as if there was no need to distinguish among levels of the biological hierarchy. These ideas were criticized in the 1960s and a two-part consensus emerged (Williams 1966). First, higher-level entities such as social groups *can* evolve into adaptive units, but only by a process of higher-level selection. For

example, an altruistic behavior that benefits others at the expense of the self is selectively disadvantageous within groups. However, if there are many groups in the total population that vary in the frequency of altruists, the most altruistic groups will differentially contribute to the total gene pool. Between-group selection favors altruism and can counteract within-group selection if it is sufficiently strong, causing the altruistic trait to evolve in the total population. This way of conceptualizing evolution is called *multilevel selection (MLS) theory* (Sober & Wilson, 1998).

Even though group-level adaptations can evolve in theory, the second part of the consensus concluded that they seldom evolve in the real world because group-level selection is almost invariably weak compared to individual-level selection. This conclusion was so widely accepted that group selection became a pariah concept, taught primarily as an example of how not to think. The theoretical justification for individualism in psychology seemed secure.

Nevertheless, much has happened in evolutionary biology during the last half century (Wilson & Wilson, 2007). The first part of the 1960's consensus remains valid; adaptations at any given level of the biological hierarchy require a process of natural selection *at that level* and tend to be undermined by lower levels of selection. The second part of the consensus has proven to be erroneous; higher-level selection can be a significant evolutionary force and sometimes even dominates lower-level selection, causing the higher-level unit to become an organism in every sense of the word. Ironically, given group selection's previous pariah status, it is now the concept of groups as organisms that stands on a firm scientific foundation. Moreover, it is likely that human

evolution represents such an evolutionary transition, with profound implications for psychology and all other human-related subjects.

Organisms As Groups

When between-group selection dominates within-group selection, a major evolutionary transition occurs. The social group becomes a higher-level organism and the members of the group acquire an organ-like status. This idea was first proposed to explain the evolution of eukaryotic (nucleated) cells, not by small mutational steps from prokaryotic (bacterial) cells, but as highly integrated symbiotic associations of bacteria. The idea was then generalized to include other major transitions, including the first cells, multicellular organisms, social insect colonies, and even the origin of life as groups of cooperating molecular interactions (Maynard-Smith & Szathmary, 1995).

Major transitions have a number of hallmarks: First, they are rare events in the history of life. It is not easy for between-group selection to dominate within-group selection. All species of eusocial insects, for example, are thought to be derived from only 15 original transitions. Second, major transitions have momentous consequences once they occur. Individuals and uncoordinated groups are no match for the new superorganisms, which quickly become ecologically dominant. Third, the transition is never complete. Even multicellular organisms, which might seem like paradigms of internal harmony, contain a disturbing number of genes that spread at the expense of other genes in the same organism, rather than for the good of their group (cf. intragenomic conflict).

The Human Major Transition: Implications For Psychological Science

It is likely that early human evolution represented a major transition, turning our ancestors into the primate equivalent of a body or beehive. All of the hallmarks are present: It was a rare event, occurring only once among primates. The consequences were momentous; mere individuals and less coordinated groups were no match for the new superorganisms, which spread over the globe, eliminating other hominid species and thousands of other species along the way. The transition is not complete; individuals still succeed at the expense of other individuals within the same group. The scope for within-group selection is merely suppressed, turning between-group selection into a relatively stronger evolutionary force.

This multilevel view of human evolution, with a strong (but not exclusive) emphasis on group selection, has foundational implications for psychological science. These implications are not entirely new, however, because psychology has its own tradition of group-level thinking, as we stressed at the beginning of this article. Instead, MLS can provide a new theoretical foundation for longstanding themes in psychological research, a sample of which will now be described.

Psychology of Altruism, Cooperation, and Morality

A major transition requires mechanisms that suppress conflict among individuals within groups, enabling groups to become the primary unit of selection. Multicellular organisms and social insect colonies could not function as adaptive units without internal social control mechanisms (Maynard Smith & Szathmary, 1995). In humans, the traits associated with moral systems appear to perform the same function. Small-scale human society (the *only* scale during most of human evolution) is remarkable for the degree of social control that members can exert over each other. Unlike most primate species, no

single individual can dominate the others in their group. Behaviors that benefit some members at the expense of others are easily detected, communicated, and punished at relatively low cost to the punishers. These social control mechanisms establish a kind of guarded egalitarianism that Boehm (1999) terms *a moral community*, which characterizes virtually all known hunter-gatherer societies.

The experimental games literature shows the importance of social control for the maintenance of cooperation in human groups. When provided an opportunity to cooperate, most people are moderately generous until they perceive that they are being exploited by more selfish ingroup members, after which they withhold their own cooperation (De Cremer & Van Vugt, 1999). At least some members are highly motivated to punish selfish behavior, however, even at their own private expense, resulting in high levels of cooperation (Fehr & Gaechter, 2002). Group-level selection thinking forces researchers to reconsider the notion of *Homo economicus* and replace it with a more complex picture including human preferences for altruism, benevolence, retaliation, contrition, fairness, forgiveness, and so on.

These and other traits associated with human morality and cooperation are based on proximate neurobiological mechanisms that are primarily automatic and emotive rather than conscious and deliberative (e.g., social emotions like anger and guilt). Moral *intuition* comes first and is only partially overridden by moral *reasoning*. Haidt (2007) shows that theorizing about morality, dating back to first psychologists like Wilhelm Wundt and William James, benefits from taking an evolutionary perspective based on MLS theory.

Group Cognition and Performance

Cooperation evolves in the context of cognitive activities such as perception, attention, memory, and decision making, in addition to physical activities such as hunting, gathering, warfare, and childcare .The social insects are well known to cooperate on cognitive tasks, to the point where they can truly be said to possess a group mind (Seeley, 1995). Just as individual cognition is based on interactions between neurons, with any particular neuron playing a limited role, group cognition is based on social interactions, with any particular individual playing a limited role.

MLS theory can organize the study of group-level cognition in humans, providing a framework for interpreting the existing psychological literature and suggesting directions for future research. For example, cooperation is required only for tasks that exceed the capacity of individuals, yet task complexity has seldom been varied as an independent variable in group cognition research. Using a task based on the game of 20 questions, Wilson, Timmel, & Miller (2004) showed that groups perform better than individuals and that the performance gap increases with the difficulty of the word being guessed. Group performance is uncorrelated with the performance of individual members playing alone and playing in a group does not subsequently improve individual performance. In other words, the advantages of playing as a group require *being* in a group. The performance advantage of groups could be demonstrated even when the task was presented in the format of a brainstorming experiment, where advantages of real groups compared to nominal groups have been notoriously difficult to demonstrate (Mullen, Johnson, & Salas, 1991).

Although cognitive cooperation has received some attention in psychological research (transactive memory; Wegner, 1986) MLS theory suggests that it deserves to occupy center stage in research on group cognition and performance.

Leadership and Group Decision-making

Leadership has long occupied the attention of psychologists with 7,500 studies cited in the most recent *Handbook of Leadership*. Yet, researchers frequently comment that the field is poorly integrated. MLS theory can provide a unifying theoretical framework for interpreting the existing literature and suggesting new research directions (Van Vugt, 2006). Two major hypotheses about leadership correspond directly to selection at the individual and group levels. The first is that leadership is a byproduct of social dominance within the group. Individuals compete for power and the winners get to make the decisions, forcing the losers to retreat and submit to them. The second is that leadership is part of an organizational structure that functions well at the group level. Coordinating action and making collective decisions often require leader-follower relationships for the good of the group.

Both hypotheses are required to make sense of human leadership. Individual selection models suggest there are always at least some individuals who wish to acquire power for themselves, even at the expense of others and the group as a whole. Without strong mechanisms for thwarting their ambitions, leadership will take on characteristics of dominance. Fortunately, in human society strong mechanisms *do* exist for preventing exploitation, as we have seen, which are applied with special force to leaders, so that they do not abuse the power that they have been given. For instance, gossip and ridicule are focused primarily on *important* members of a group. Domineering leaders are resented

compared to those who are generous, trustworthy, and empathetic. Of course, ambition and aggression in a leader might also be assets for the whole group, especially in competitive relationships with other groups, which might explain why many societies have separate leaders for war and peace (Van Vugt, 2006).

Social Identity and Intergroup Relations

Ingroup favoritism and outgroup hostility are the hallmarks of human social psychology and MLS theory explains why. Between group conflict has been a major force in human history, selecting for a range of group-level traits that may be costly for individuals but are hugely beneficial to their group. When particular conditions are met -- such as the real or imagined presence of an outgroup -- these traits become apparent. Minimal group experiments show that humans readily identify with and discriminate against members of outgroups even if they know that group membership is randomly decided by the flip of a coin (Brewer, 1979). Humans are also quite prepared to make substantial sacrifices by volunteering time, donating money or taking risky actions to defend their group. MLS theory can provide a theoretical framework for these well-established results in social psychology and set an agenda for future research and practical applications (Kurzban & Neuberg, 2005).

Cultural Psychology

The human major transition enabled our ancestors to spread over the globe, occupying hundreds of ecological niches--yet we remained a single biological species. It is amazing that a single species can acquire the adaptations to survive in environments as different as the frozen arctic, the arid desert, the humid rain forest, and remote islands thousands of miles from the mainland. This diversification requires a fast-paced process

of cultural evolution (Richerson & Boyd, 2005), with three major implications for psychological science.

First, cultural evolution requires a complex psychological infrastructure that evolved by genetic evolution. An analogy with the immune system is instructive. Our bodies are capable of rapidly adapting to diseases, but only thanks to a genetically evolved immune system that is mind-boggling in its complexity and sophistication when understood in detail. Something comparable must exist to explain our genetically innate capacity for rapid cultural adaptation, which should occupy center stage in psychological research.

Second, cultural evolution can create profound psychological differences among people, which are no less profound for being cultural rather than genetic. Social psychologist Richard Nisbett made this discovery over the course of his career, as he recounted at the end of a recent review article:

> Almost two decades ago, the senior author wrote a book with Lee Ross entitled, modestly, *Human Inference*. Roy D'Andrade, a distinguished cognitive anthropologist, read the book and pronounced it a "good ethnography." The author was shocked and dismayed. But we now wholeheartedly agree with D'Andrade's contention about the limits of research conducted in a single culture. Psychologists who choose not to do cross-cultural psychology may have chosen to be ethnographers instead. (Nisbett, Peng, Choi, & Norenzayan, 2001; p. 307)

Third, human activities such as music, dance, visual art, literature, and religion, which are associated more with the humanities than the human behavioral sciences, emerge as more worthy of scientific study when viewed from MLS perspective. These

activities are culturally universal (although diverse in their specific expression), appear early in life and—like sex--do not require incentives to perform because they are so pleasurable in their own right. In other words, they have all the earmarks of genetically evolved adaptations (Baumeister, 2005). Far from superfluous, they might play an essential role in defining groups, bonding their members together, coordinating their activities, and facilitating the social transmission of acquired information.

Integrating The Concept Of Groups As Organisms Across All Scientific Disciplines

It is an interesting fact, worth the attention of social historians, that the concept of the group as an organism was widely accepted until the middle of the 20th century when it was rejected by various scientific disciplines. It is now making a comeback through the application of MLS thinking, providing a firm scientific foundation for the concept of groups as organisms--not as an axiomatic statement about all societies, but as a possibility that is realized when certain conditions are met. This foundation can be built upon by psychology in addition to all other human-related disciplines in an integration of knowledge that is the hallmark of evolutionary inquiry.

Acknowledgements and End Notes

1) Address correspondence to David Sloan Wilson, Department of Biology, Binghamton University, Binghamton, New York, 13902-6000 USA

2) See Wilson (2007) and Sober and Wilson (1998) for an extended treatment and more comprehensive list of references.

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RECOMMENDED READINGS

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2) Sober and Wilson (1998). See reference list. A comprehensive account of multilevel selection theory for a broad academic audience.

3) Richerson, P. J. and Boyd, R. (2005). See reference list. A comprehensive account of human cultural evolution from a multilevel evolutionary perspective.